

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

ERIC R. BAHE, Custodian, CGM Roth
Conversion IRA,

Plaintiff,

v.

Civil Action No. 04-11195 (MLW)

FRANKLIN/TEMPLETON DISTRIBUTORS, INC.,
and WILLIAM J. LIPPMAN,

Defendants, and

FRANKLIN BALANCE SHEET INVESTMENT
FUND,

Nominal Defendant.

LOCAL RULE 16.1(D)(3) CERTIFICATION

The Plaintiff, Eric R. Bahe and his undersigned counsel hereby certify that they have conferred:

- (a) with a view to establishing a budget for the costs of conducting the full course – and various alternative courses – for the litigation; and
- (b) to consider the resolution of the litigation through the use of alternative dispute resolution programs such as those outlined in LR 16.4.

Eric. Bahe

Eric R. Bahe

Edward F. Haber

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